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59 2611
8/30/01
UV-177

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Kenneth F. Carpenter et al.
Application No.: 09/733,727 Confirmation No.: 2492
Filed : December 8, 2000
For : FEATURES FOR USE WITH ADVANCED SET-TOP
APPLICATIONS ON INTERACTIVE TELEVISION
SYSTEMS
Group Art Unit : 2711
Examiner : Not yet assigned

New York, New York
June 29, 2001

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER FOR
INFORMATION DISCLOSURE STATEMENT

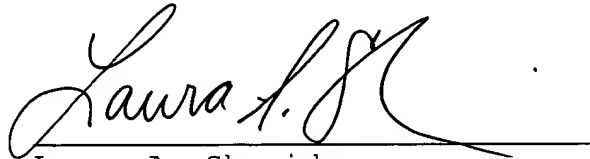
Sir:

Transmitted herewith is an Information Disclosure
Statement in the above-identified application. This
Statement is submitted:

- ☐ [] within three months of the application filing date;
- ☒ [X] more than three months from the application filing date but before the mailing date of the first Office Action on the merits; and
- ☒ [X] if in the instance that this Statement is submitted after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311, this Statement is accompanied by a precautionary certification as required under 37 C.F.R. § 1.97(e).

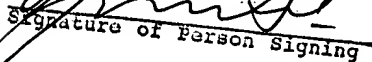
In accordance with 37 C.F.R. § 1.97, applicants believe that no fee is due at this time because this Statement was filed before the mailing of a first Office Action. However, if for any reason a fee is due, the Director of the United States Patent and Trademark Office is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,


Laura A. Sheridan
Registration No. P-48,446
Agent for Applicants
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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following patents and patent publications:

The Patents and Patent Publications

Section A

U.S. Patent Documents

Ellis et al.	5,986,650	(11/16/99)
Lemmons et al.	5,880,768	(03/09/99)
Youman et al.	5,629,733	(05/13/97)
Blomfield-Brown	5,625,678	(04/29/97)
Roop et al.	5,619,274	(04/08/97)
Knee et al.	5,589,892	(12/31/96)
Mankovitz et al.	5,523,794	(06/04/96)
Young et al.	5,353,121	(10/04/94)

Foreign Patent Documents

PCT	WO 00/05885	(02/03/00)
PCT	WO 98/17033	(04/23/98)
PCT	WO 96/41472	(12/19/96)
EPO	0 535 749 A2	(04/07/93)

Section B

Foreign Patent Documents

PCT	WO 99/14947	(03/25/99)
PCT	WO 99/04561	(01/28/99)

The documents of Sections A and B are listed on the accompanying Form PTO-1449 (submitted in duplicate) and copies are enclosed herewith.

Precautionary Certification Pursuant to 37 C.F.R. § 1.97(e)

The information contained in Section B of this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement. In particular, these references were cited in the April 3, 2001 International Search Report on applicants' corresponding Patent Cooperation Treaty application PCT/US00/33259. A copy of that Search Report is enclosed herewith.

Applicants also wish to bring to the Examiner's attention the following unpublished U.S. patent applications, which are listed by applicant(s), filing date, and application number, respectively:


U.S. Patent Applications

Graves et al.	09/733,726	(12/08/00)
Walker et al.	09/728,318	(12/01/00)
Easterbrook et al.	09/727,683	(12/01/00)
Walker et al.	09/717,682	(11/21/00)
Boylan III et al.	09/699,207	(10/27/00)
Herrington et al.	09/635,596	(08/09/00)
Ellis	09/605,683	(06/26/00)
Ellis et al.	09/604,470	(06/26/00)
Carpenter et al.	09/565,514	(05/05/00)
Hassell et al.	09/500,080	(02/08/00)
Forrer et al.	09/492,713	(01/27/00)
Herrington et al.	09/451,612	(11/30/99)
Boyer et al.	09/437,304	(11/09/99)
Ellis et al.	09/437,107	(11/09/99)
Knudson et al.	09/410,332	(10/01/99)
Knudson	09/375,901	(08/17/99)
Ellis et al.	09/374,043	(08/13/99)
Knudson et al.	09/357,941	(07/16/99)
DeWeese et al.	09/356,270	(07/16/99)
Rudnick et al.	09/356,268	(07/16/99)
McKissick et al.	09/356,245	(07/16/99)
Ellis et al.	09/356,161	(07/16/99)
Ellis et al.	09/354,344	(07/16/99)
Ellis et al.	09/346,134	(07/16/99)
Hassell et al.	09/332,625	(06/11/99)
Boyer et al.	09/330,793	(06/11/99)
Knudson et al.	09/330,792	(06/11/99)
Rosenthal et al.	09/262,658	(03/03/99)
Ellis	09/186,598	(11/05/98)
Ellis et al.	09/145,232	(09/01/98)
Hagenberg et al.	09/063,333	(03/20/98)
Ellis et al.	09/034,934	(03/04/98)
Boyer et al.	08/987,740	(12/09/97)
Ellis et al.	08/428,809	(04/25/95)

In accordance with 37 C.F.R. § 1.98, no copies of these U.S. patent applications need be included with the filing of this paper.

Consideration of the foregoing in relation to this
patent application is respectfully requested.

Respectfully submitted,



Laura A. Sheridan
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PATENT APPLICATION

Applicants : Kenneth F. Carpenter et al. Technology Center 2600
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New York, New York 10020
April 8, 2003

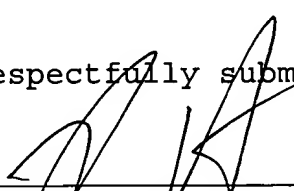
Hon. Commissioner for Patents
Washington, D.C. 20231

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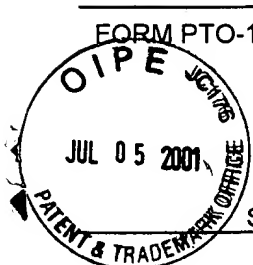
Sir:

Please permit Ms. Pat Paxton, or her duly authorized representative, to inspect the file of the above-identified patent application, and to make copies of any papers in the file.

Respectfully submitted,



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FORM PTO-1449

U.S. DEPARTMENT OF
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OFFICE

ATTY. DOCKET NO.
UV-177

APPLICATION NO.
09/733,727

APPLICANTS
Kenneth F. Carpenter et al.

CONFIRMATION NO.
2492

FILING DATE
December 8, 2000

GROUP ART UNIT
2711

INFORMATION DISCLOSURE STATEMENT BY APPLICANTS

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,986,650	11/16/99	Ellis et al.	345	327	
	5,880,768	03/09/99	Lemmons et al.	348	1	
	5,629,733	05/13/97	Youman et al.	348	7	
	5,625,678	04/29/97	Blomfield-Brown	379	93	
	5,619,274	04/08/97	Roop et al.	348	461	
	5,589,892	12/31/96	Knee et al.	348	731	
	5,523,794	06/04/96	Mankovitz et al.	348	460	
	5,353,121	10/04/94	Young et al.	348	563	

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FOREIGN PATENT DOCUMENTS

[illegible]

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.